



1. Contact Details

Business/Trading Name: _____

Primary Contact: _____

Trading Address: _____

Mailing Address: _____

Telephone: _____ Mobile: _____

Email: _____ Web: _____

2. Entity Details

Company
Go to Section 2.1

Sole Trader
Go to Section 2.2

Partnership
Go to Section 2.3

2.1 Company

Company Name: _____

ACN: _____ ABN: _____

Nominated Director: _____ Other Directors: _____

2.2 Sole Trader

Proprietor's Name: _____

ABN: _____

2.3 Partnership

Partners: _____

ABN: _____

Acting as Trustee Yes No

Name of Trust: _____

ABN: _____



3. Australian Credit Licence (ACL) Status/Intention

- Have own Australian Credit Licence Number
- Applying for own ACL. Date applied _____
- Existing or previous Credit Representative details
 - ACL Holder: _____
 - ACL Number: _____
 - Corporate Credit Representative Number: _____
 - Individual Credit Representative Number: _____
- Request for appointment under nMB's ACL

Appointment under nMB's ACL attracts a monthly credit representative fee which covers the appointment of the Corporate Credit Representative and the Principal Broker relating to this application.

Current fee is \$150.00 + GST per month.

Place of birth required for CR appointment: _____ _____
City Country

Date AFCA Membership commenced Personal _____
Corporate _____

4. Current/Previous Aggregator

Aggregator Name: _____

Previous Aggregator Name: _____
(If less than 2 years)

5. Bank Account Details

Account Name: _____

Bank Name: _____

BSB: _____ Account Number: _____



6. Checklist

Copies of the following items are required with your application:

		Attached																
1.	Certificate of Incorporation and/or registration of business name.	<input type="checkbox"/>																
2.	Brief work history or resume.	<input type="checkbox"/>																
3.	3 x Business Referees.	<input type="checkbox"/>																
	<table border="0" style="width: 100%;"> <thead> <tr> <th style="width: 15%; text-align: left;">Name:</th> <th style="width: 35%; text-align: left;">Business:</th> <th style="width: 20%; text-align: left;">Email:</th> <th style="width: 30%; text-align: left;">Contact number:</th> </tr> </thead> <tbody> <tr> <td>i _____</td> <td>_____</td> <td>_____</td> <td>_____</td> </tr> <tr> <td>ii _____</td> <td>_____</td> <td>_____</td> <td>_____</td> </tr> <tr> <td>iii _____</td> <td>_____</td> <td>_____</td> <td>_____</td> </tr> </tbody> </table>	Name:	Business:	Email:	Contact number:	i _____	_____	_____	_____	ii _____	_____	_____	_____	iii _____	_____	_____	_____	
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ii _____	_____	_____	_____															
iii _____	_____	_____	_____															
4.	Certificate IV Financial Services (Finance/Mortgage Broking) or Diploma Finance & Mortgage Broking.	<input type="checkbox"/>																
5.	Anti Money Laundering / Counter Terrorism Finance Certificate of Completion. <small>If older than 2 years then refresher Certificate is required.</small>	<input type="checkbox"/>																
6.	Copy of current Professional Indemnity Insurance Policy. <small>Minimum cover of \$2M for any one claim and \$4M in the aggregate. Policy must cover mortgage broking activities and access to run-off cover. Minimum 3 months cover remaining.</small>	<input type="checkbox"/>																
7.	Current MFAA or FBAA Membership Certificate & Statement of Attainment. <small>Minimum 3 months cover remaining</small>	<input type="checkbox"/>																
8.	Membership with Australian Financial Complaints Authority (AFCA). <div style="margin-left: 40px;"> Company Membership <input type="checkbox"/> Individual Membership <input type="checkbox"/> </div> <small>Membership required for both company and any individual Loan Writer. National Mortgage Brokers AFCA Membership #42146 Minimum 3 months cover remaining.</small>	<input type="checkbox"/>																
9.	Four forms of identification as listed in nMB Identification & Probity Requirements.	<input type="checkbox"/>																
10.	Evidence of Australian Credit Licence (if applicable).	<input type="checkbox"/>																
11.	Separation letter/s from previous Aggregator/s as listed in section 5.	<input type="checkbox"/>																



7. Declaration & Authority To Act

Please answer the following questions.

	True	False
I have not been refused the right or been restricted in the right to carry on any trade, business or profession for which an authorisation (licence, certificate, registration or other authority) is required by law.	<input type="checkbox"/>	<input type="checkbox"/>
I have not been subject to disciplinary action in relation to any such authorisation.	<input type="checkbox"/>	<input type="checkbox"/>
I have not, within Australia or overseas, been the subject of any investigations or proceedings that are current or pending and which may result in disciplinary action being taken in relation to any such authorisation.	<input type="checkbox"/>	<input type="checkbox"/>
I have not, within Australia or overseas been engaged in the management of any companies/businesses that have had a <i>Corporations Act 2001</i> (or previous or corresponding laws) licence or an Australian credit licence cancelled.	<input type="checkbox"/>	<input type="checkbox"/>
I have not, within Australia or overseas, been reprimanded, or disqualified or removed by a professional or regulatory body or external dispute resolution scheme in relation to matters relating to their honesty, integrity or business conduct.	<input type="checkbox"/>	<input type="checkbox"/>
I have not, within Australia or overseas, had a claim made against a professional indemnity insurance policy in relation to advice I have tendered.	<input type="checkbox"/>	<input type="checkbox"/>
I have not, within Australia or overseas, been refused professional indemnity insurance.	<input type="checkbox"/>	<input type="checkbox"/>
I have not, within Australia or overseas, been denied accreditation, or had accreditation cancelled or suspended, by a lender, mortgage manager or mortgage insurer, other than for volume reasons, or had my membership with an aggregator or franchise group terminated, or is similar action pending against me.	<input type="checkbox"/>	<input type="checkbox"/>
I have not within Australia or overseas, carried on business under any name other than the name or names shown in this certificate.	<input type="checkbox"/>	<input type="checkbox"/>
I have not within Australia or overseas, been the subject of administrative, civil or criminal proceedings or enforcement action, which were determined adversely to me (including by consenting to an order or direction, or giving an undertaking not to engage in unlawful or improper conduct) in any country.	<input type="checkbox"/>	<input type="checkbox"/>
I have not, within Australia or overseas, been declared bankrupt or insolvent under administration and no such action pending.	<input type="checkbox"/>	<input type="checkbox"/>
I have not, within Australia or overseas, been engaged in the management of any companies/businesses that have had an external administrator appointed or which have entered a compromise or scheme of arrangement with its creditors.	<input type="checkbox"/>	<input type="checkbox"/>



7. Declaration & Authority To Act - Continued

	True	False
I have not, within Australia or overseas, been engaged in the management of any companies/businesses that were declared insolvent.	<input type="checkbox"/>	<input type="checkbox"/>

I have not, within Australia or overseas, been engaged in the management of any companies/businesses that were declared the equivalent of insolvent under the law of an external territory or country other than Australia.	<input type="checkbox"/>	<input type="checkbox"/>
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If "False" answered to any of the questions, please provide full details below.

I hereby declare the information I have supplied in this application is true and correct. I acknowledge that National Mortgage Brokers Pty Ltd., relies on this information to consider my application. I consent to and authorise National Mortgage Brokers Pty Ltd and its employees to make reasonable enquiries (including probity checks), regarding this application and to contact any business and personal referees provided or listed in this applications and attachments. Applicants completing this form are subject to assessment. National Mortgage Brokers Pty Ltd may in its absolute discretion refuse to approve an application.

_____ Print Name	_____ Signature	_____ Date
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_____ Print Name	_____ Signature	_____ Date
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