



1. CONTACT DETAILS

Business Name: _____

Primary Contact: _____

Business Address: _____

Mailing Address: _____

Telephone: _____ Mobile: _____

e-mail: _____

2. ENTITY DETAILS

Company
Go to Section 2.1

Sole Trader
Go to Section 2.2

Partnership
Go to Section 2.3

2.1 Company

Company Name: _____

Trading Name: _____

ACN: _____ ABN: _____

Nominated Director: _____

Other Director/s: _____

2.2 Sole Trader

Proprietor's Name: _____

Trading Name: _____

ABN: _____

2.3 Partnership

Partners: _____

Trading Name: _____

ABN: _____



3. AUSTRALIAN CREDIT LICENCE (ACL) STATUS/INTENTION

- Own Australian Credit Licence
- Applying for Own ACL. Date Applied _____
- Existing Credit Representative

ACL Holder: _____

ACL Number: _____

Corporate Credit Representative Number: _____

Individual Credit Representative Number: _____

- Request for appointment under nMB's ACL Note: A monthly credit representative fee of \$125 +gst applies. This fee covers the appointment of the Corporate Credit Representative and one Principal Broker relating to this application.

Place of Birth required for appointment: _____

City

Country

4. 100 POINT ID CHECKLIST

DOCUMENT TYPE: An original certified copy of the relevant document is required	POINTS VALUE
At least 1 required	<input type="checkbox"/> Current Passport (if not Australian Citizen, provide proof of residency))
	<input type="checkbox"/> Keypass)
	<input type="checkbox"/> Expired Australian Passport (which has not been cancelled and which was current in the preceding two years))
	70
<input type="checkbox"/> Australian Driver's Licence	40
<input type="checkbox"/> Medicare Card	25
<input type="checkbox"/> A Financial Institution Charge Debit or Credit Card	25
<input type="checkbox"/> Rates Notice	35
TOTAL NUMBER OF POINTS (must be at least 100 points)	TOTAL



5. CHECKLIST

The following items are required with your application:

	Required	Attached
1. Copy Certificate of Incorporation.	<input type="checkbox"/>	<input type="checkbox"/>
2. Copy Registration of Business Name.	<input type="checkbox"/>	<input type="checkbox"/>
3. Business Profile & Principal's CV including three business referees.	<input type="checkbox"/>	<input type="checkbox"/>
4. Copy of Certificate IV Financial Services (Finance/Mortgage Broking) or Diploma Financial Services (Finance/Mortgage Broking).	<input type="checkbox"/>	<input type="checkbox"/>
5. Probity checks required for all Loan Writers and/or Principal Company Directors, (not more than one month old). All can be completed by visiting http://www.cvcheck.com	<input type="checkbox"/>	<input type="checkbox"/>
- Credit History Report	<input type="checkbox"/>	<input type="checkbox"/>
- National Police Criminal History Check on Applicant and all Directors	<input type="checkbox"/>	<input type="checkbox"/>
6. Copies of ID as per Section 4 (Originals to be sighted by nMB)	<input type="checkbox"/>	<input type="checkbox"/>
7. Copy of current Professional Indemnity Insurance Policy with a minimum cover of \$2m for any one claim and \$4m in the aggregate. Policy cover must include mortgage broking activities and automatic run-off cover.	<input type="checkbox"/>	<input type="checkbox"/>
8. Copy of MFAA or FBAA Membership Certificate. If applying for New Membership, please visit www.mfaa.com.au for MFAA Membership or www.fbaa.com.au for FBAA Membership to obtain further information.	<input type="checkbox"/>	<input type="checkbox"/>
9. Copy of Anti Money Laundering/Counter Terrorism Financing course Certificate.	<input type="checkbox"/>	<input type="checkbox"/>
10. Copy of Australian Credit Licence (if applicable).	<input type="checkbox"/>	<input type="checkbox"/>
11. Copy of ASIC approved EDRS membership with Credit Industry Ombudsman (www.cio.org.au) or Finance Industry Ombudsman (www.fos.org.au).	<input type="checkbox"/>	<input type="checkbox"/>
- Company Membership		
- Individual Membership		

NOTE: 1. If seeking Credit Representative Appointment under nMB's ACL EDRS membership must be with CIO. 2. If proposed Mortgage Broker Agreement is in Company name, both Company and Individual Loan Writer/s CIO Membership will be required.

6. BANK ACCOUNT DETAILS

Account Name: _____

Institution Name: _____

BSB: _____ Account No: _____



7. DECLARATION & AUTHORITY TO ACT

Please answer the following questions. Where the Entity is a company or partnership please answer questions after consultation with and on behalf of all shareholders, directors and partners as appropriate:

- a) Have you ever been charged or convicted of a criminal offence. Yes No
- b) Have you ever been denied accreditation by a lender or other supplier of financial services? Yes No
- c) Have you or any other director of your company ever had a lender, supplier of financial services or Government Authority (ie ASIC) cancel, suspend or terminate an accreditation, licence or registration? Yes No
- d) Have you ever been known by another name? Yes No
- e) Are you an undischarged bankrupt or ever been declared bankrupt? Yes No
- f) Have you ever been refused membership, had membership cancelled or been subject to disciplinary proceedings of a professional, government or industry body? Yes No
- g) Have you ever been refused professional indemnity insurance or have any past or pending claims made against professional indemnity insurance? Yes No
- h) Are you currently insolvent or unable to meet all current and future financial obligations? Yes No
- i) Have you any visa restrictions preventing you from working in Australia? Yes No

If "Yes" answered to any of the questions please provide full details:

I hereby declare the information I have supplied in this application is true and correct. I acknowledge that National Mortgage Brokers Pty Ltd relies on this information to consider my application. I consent to and authorise National Mortgage Brokers to make any reasonable enquiries (including probity checks if required) regarding this application and to contact any business and personal referees provided or listed in this application and attachments. Applicants completing this form are subject to assessment. National Mortgage Brokers Pty Ltd may in its absolute discretion refuse to approve an application.

<hr/> <small>Print Name</small>	<hr/> <small>Signature</small>	<hr/> <small>Date</small>
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<hr/> <small>Print Name</small>	<hr/> <small>Signature</small>	<hr/> <small>Date</small>
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